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CONFIRMATION NO. 3927

SERIAL NUMBER	FILING or 371(c) DATE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.		
09/911,772	07/24/2001	705	3696	CUTLP0102US		
RULE						
APPLICANTS Stephen Cutler, Captive, FL;						
** CONTINUING DATA *****						
** FOREIGN APPLICATIONS *****						
** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 09/05/2001						
Foreign Priority claimed <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 35 USC 119(a-d) conditions met <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Verified and <input type="checkbox"/> CLEMENT B Acknowledged <input type="checkbox"/> GRAHAM/ Examiner's Signature _____ Initials _____		<input type="checkbox"/> Met after Allowance CG Initials _____	STATE OR COUNTRY FL	SHEETS DRAWINGS 10	TOTAL CLAIMS 186 207	INDEPENDENT CLAIMS 20 24
ADDRESS M. David Galin Renner, Otto, Boisselle & Sklar, LLP Nineteenth Floor 1621 Euclid Avenue Cleveland, OH 44115 UNITED STATES						
TITLE Securities market and market maker activity tracking system and method						
FILING FEE RECEIVED 3404	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:			<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		